

# IntelliBuild® Growth

as of March 31, 2023



Sheaff Brock®

Innovative Portfolios for Intelligent Investors®

## OBJECTIVE

The IntelliBuild® Growth strategy seeks capital appreciation in a portfolio of 33 individual stocks selected from Investor's Business Daily (IBD) and William O'Neil stock lists. A disciplined approach is implemented to select fundamentally strong American growth-oriented equities based on quantitative scores and relative strength.

PERFORMANCE (%)						Inception Date: 10/1/2013
Average (Annual)	2023 YTD	1-Year	3-Year	5-Year	7-Year	Inception
IntelliBuild, Gross	11.75	4.70	24.56	11.82	13.10	11.25
IntelliBuild, Net	11.43	3.46	23.06	10.46	11.72	9.89
S&P 500	7.50	(7.73)	18.60	11.19	12.42	11.98

Effective December 2020 Innovative Portfolios, LLC ("IP") was hired as the subadvisor for the composite. Performance after January 1, 2021 occurred while the investment management team was affiliated with IP. The same investment management team has managed the composite since its inception, and the investment process has not changed. After January 1, 2021, Sheaff Brock Investment Advisors, LLC performance has been linked to performance earned at IP. Data quoted represents past performance, which is no guarantee of future results.

## STRATEGY HIGHLIGHTS

Seeks to add U.S. based growth companies that have demonstrated recent outperformance.

Additional diversification by including companies with smaller market capitalization as needed.

Active management focused on mitigating downside risk of individual companies.

## PORTFOLIO CONSTRUCTION

### 1ST | Universe

Investor's Business Daily's IBD 50 list, which consists of 50 leading growth stocks showing strong relative price strength and top-notch fundamentals. Also used is the O'Neil Growth Index™, which consists of high growth companies with trading momentum.

### 2ND | Rank

Identify potential candidates by William O'Neil's composite rating which scores strength of fundamentals and technical factors.

### 3RD | Measure

Rate the potential candidate's performance over the last 52 weeks with William O'Neil's relative strength rating.

### 4TH | Screen

Utilize Revelation Investment Research to analyze downside risk based on valuation, growth, fundamentals, and sentiment.

### 5TH | Decision

Select 33 stocks based on their scores, fundamentals, and technical analysis. Positions are reviewed regularly.

## TOP 10 HOLDINGS¹ (%)

Lattice Semiconductor Corp	3.84
Cadence Design Systems Inc	3.79
Synopsys Inc	3.67
W.W. Grainger Inc	3.62
Arista Networks Inc	3.47
Tractor Supply Co	3.44
Cintas Corp	3.41
Penske Automotive Group Inc	3.39
Atkore Inc	3.35
Old Dominion Freight Line Inc	3.33

## SECTOR ALLOCATION¹ (%)

Information Technology	38.2
Industrials	24.6
Consumer Discretionary	20.9
Health Care	10.5
Consumer Staples	3.2
Financials	2.6

## EQUITY MARKET CAPITALIZATION¹ (%)

Mega Cap	12.5
Large Cap	64.6
Medium Cap	22.9
Small Cap	0.0
Micro Cap	0.0

Holdings and portfolio weights are subject to change without notice. Portfolio holdings are provided for informational purposes only and should not be deemed as a recommendation to buy, sell or hold any security.

## PORTFOLIO MANAGERS

### Dave Gilreath, CFP®

Managing Director, Chief Investment Officer  
Industry since 1981

### Tom Kaiser, CFA, CPA

Portfolio Manager, Research Analyst  
Industry since 2010

## COMPANY PROFILE

- Founded 11/2/2001
- Principals: David S. Gilreath, CFP®, and Ron Brock
- \$1.2 billion total assets under management (as of 3/31/2023)

## ADDITIONAL STRATEGIES

### GROWTH

- Bulls of the Dow
- IntelliBuild® Growth
- Outlier Growth

### GROWTH & INCOME

- Covered Call Income
- Dividend Growth & Income
- Real Estate Income & Growth

### FIXED INCOME

- Preferred Income

### OPTION OVERLAY

- Index Income Overlay

# Disclaimers

**Organization:** Sheaff Brock Investment Advisors, LLC ("SBIA"), established in 2001, is registered as an investment advisor with the Securities and Exchange Commission. SBIA is wholly owned by Sheaff Brock Capital Management, LLC ("SBCM").

Effective December 2020 Innovative Portfolios, LLC ("IP"), an SEC registered investment advisor and wholly owned subsidiary of SBCM, was hired as the subadvisor for the composite. Performance after January 1, 2021 occurred while the investment management team was affiliated with IP. The same investment management team has managed the composite since its inception, and the investment process has not changed. Performance after January 1, 2021 has been linked to performance earned at IP. IP policies for valuing investments and calculating performance are available upon request.

**Description:** The IntelliBuild Growth Composite invests in approximately 33 stocks of high-quality rapidly growing U.S. companies. The investment objective of the composite is capital appreciation. The IntelliBuild Growth Composite consists of fully discretionary portfolios, including those accounts no longer with the firm. For comparison purposes the composite is measured against the Standard & Poor's 500 Index (S&P 500). The Composite inception date is October 1, 2013.

Performance presented are time-weighted returns. Valuations and performance is reported in U.S. dollars. Composite performance is presented on gross-of-fees and net-of-fees basis and includes the reinvestment of income (dividends/interest). Gross-of-fees returns are presented before management and custodial fees but after all trading expenses. Net-of-fees returns are calculated by deducting a model management fee of 0.3125, ¼ of the highest annual management fee of 1.25%, from the quarterly gross composite return, applied the first month of each quarter. Actual advisory fees incurred by clients may vary.

The benchmark is the S&P 500, a market value weighted index comprised of 500 of the largest publicly traded U.S. companies. An index should only be compared with a mandate that has a similar investment objective. An index is not available for direct investment and does not reflect any of the costs associated with buying and selling individual securities or management fees, the incurrence of which would have the effect of decreasing historical performance results. There can be no assurances that a composite will match or outperform any particular benchmark.

Past performance is no guarantee of future performance and there is a risk of loss of all or part of your investment. Individual client performance returns may be different than the composite returns listed. Changes in investment strategies, contributions or withdrawals, and economic conditions may materially alter the performance of your portfolio. Different types of investments involve varying degrees of risk, and there can be no assurance that any specific investment or strategy will be suitable or profitable for a client's portfolio. Information is obtained from sources SBIA believes are reliable, however, SBIA does not audit, verify, or guarantee the accuracy or completeness of any material contained herein.

Certain information expressed represents an assessment at a specific point in time and is not intended to be a forecast or guarantee of future performance, nor is it intended to speak to any future time periods. The information and data in the composite overview does not constitute legal, tax, accounting, investment, or other professional advice. The information provided in the composite overview should not be considered a recommendation to purchase or sell any particular security. There is no assurance that any securities discussed herein will remain in the composite at the time you receive the composite overview or that securities sold have not been repurchased. The securities discussed may not represent the entire portfolio. It should not be assumed that any securities transaction or holding discussed was or will prove to be profitable, or that the investment recommendations or decisions in the future will be profitable or will equal the investment performance of the securities discussed herein.

1 Portfolio characteristics are computed based on SBIA composite subadvised by IP.

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